

PATENT

Docket No. M6185 PCT/US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of: Goodreau et al.

Serial No. 09/529,564 Examiner: P. Mulcahy  
Filed: April 14, 2000 Art Unit: 1713  
Title: COMPOSITION AND PROCESS FOR MULTI-PURPOSE  
TREATMENT OF METAL SURFACES

# 8/B  
10/15/02  
11C**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the Assistant Commissioner for  
Patents on the date shown below.

10-9-02  
Date

*Lynn S. Moroz*  
Signature of certifier

Lynn S. Moroz  
Typed or printed name of certifier

**AMENDMENT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Official Action of July 1, 2002, Applicants respectfully request that the following amendment be entered in the application and that the application be reconsidered in light of the amendments and the following discussion.

**IN THE CLAIMS**

Please enter the following amended claims in the application.

Sub C  
B

(amended) A process for forming over a metal surface an adherent solid coating that imparts to the metal surface after coating at least one of the following changes: (i) protecting the surface as treated, without any additional coating, from corrosion more effectively than does the absence of any coating; (ii) improving the adhesion of a subsequently applied coating, compared to the adhesion that would be achieved between the same subsequently applied coating and the uncoated metallic surface; and (iii) allowing the treated metallic surface to be satisfactorily cold-worked without the need for any liquid organic lubricant under conditions where the metal surface if not coated can not be satisfactorily cold-worked without use of an organic liquid lubricant, said process comprising operations of: (I) coating said metal surface with a layer of an aqueous liquid composition comprising water and:

**OFFICIAL**  
FAX RECEIVED  
OCT 09 2002  
GROUP 1700

**TELECOPY**

HENKEL CORPORATION  
2500 RENAISSANCE BOULEVARD  
SUITE 200  
GULPH MILLS, PA 19406

DATE: 10-9-02**TO:**

Name: Honorable Commissioner  
Of Patents and Trademarks

Location: Washington, D.C.Fax No.: 703-305-3599**FROM:**Name: Daniel S. OrtizLocation: Gulph Mills, PAFax No.: 610-278-6548

FAX RECEIVED  
OCT 09 2002  
GROUP 1700  
OFFICIAL

NUMBER OF PAGES 38 INCLUDING THIS COVER PAGE.

We are transmitting from facsimile machine (610) 278-6548.

If you do not receive all the pages indicated above, please call Lynn at (610) 278-4938  
or 278-4920 between 8:00 A.M. and 5:00 P.M.

\*\*\*\*\*  
THE INFORMATION CONTAINED IN THIS FAX MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL  
USE OF THE DESIGNATED RECIPIENT(S) NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT  
COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE  
INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE  
HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION,  
DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS  
COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE  
TO US BY MAIL. THANK YOU.  
\*\*\*\*\*

Docket No.: M6185  
Serial No.: 09/529,564  
Filed: April 14, 2000

Enclosure: Request for Extension of Time (1 page)  
Amendment (19 pages)  
Annex (17 pages)